

# Thomson Reuters Regulatory Intelligence Content Expert Biographies



## Lindsay Anderson

Lindsay Anderson is senior editor, Thomson Reuters Regulatory Intelligence, based in London. Lindsay is responsible for commissioning third-party content covering insurance, corporate governance and data protection, as well as exclusive employment law content for Thomson Reuters Regulatory Intelligence HR UK. Lindsay joined Thomson Reuters in 2010 from Complinet, where she was a reporter and editor. Prior to this, Lindsay was editor of the CIPD's employment law website, HR-inform. Lindsay is a graduate of the University of Glasgow and holds a postgraduate diploma in journalism from the London School of Journalism. Lindsay is also an affiliate member of the Chartered Institute for Securities & Investment.



## Chloe Bloomfield

Chloe Bloomfield is regulatory intelligence analyst for Thomson Reuters Regulatory Intelligence (TRRI). She is responsible for ensuring that the large and complex content sets and regulatory events, which comprise the My Updates and TRRI Community functionalities on the TRRI platform, are accurate and reviewed regularly. Chloe coauthors analysis articles on enforcement trends and analyzes key themes from regulatory speeches. Chloe manages TV content for the TRRI platform, and provides research and data analysis for special reports, such as Culture and Conduct Risk and Cost of Compliance. She joined Thomson Reuters in 2014 from customer-facing roles at Allied Irish Bank and HSBC. Chloe completed the UK Financial Regulation examination, and is currently studying Global Financial Compliance with the Chartered Institute of Securities and Investment.



## Christine Brentani

Christine Brentani is a senior regulatory intelligence expert at Thomson Reuters Regulatory Intelligence with over 25 years' experience in the financial services sector. She has previously worked as head of Regulatory Developments at asset management firms. She has also spent a number of years working at the UK regulator in both policy and supervision and has worked as a Latin American equity fund manager and a trader of fixed income and derivatives products. At one point she ran the overnight Eurodollar desk at the Bank of New York. Christine has lived in Brazil, the U.S. and the UK.

Christine is the author of a book entitled *Portfolio Management in Practice* and has completed the Investment Management Certificate (IMC) along with the Series 7 and the Series 63 exams. She holds a B.A. from the University of Pennsylvania and an MBA from the London Business School.



## Helen Camfield

Helen Camfield is regulatory intelligence expert for Thomson Reuters Regulatory Intelligence and has global responsibility for ensuring the timely and efficient management and delivery of Regulatory Intelligence thought leadership including special reports. Helen also provides global regulatory trend analysis and oversees the production of Management Information. Prior to Thomson Reuters acquisition of Complinet, Helen was part of the Complinet team that launched Regulatory Tracker, analyzing, summarizing and categorizing regulatory alerts for clients. She has a history degree from the University of London and has attained the Securities & Investment Institute Diploma in Investment Compliance. Helen has 11 years' experience in the financial services industry, starting her career with a management consultancy firm that produced risk management events for FTSE 100 firms.



## Helen Chan

Helen Chan is a regulatory intelligence expert in the Enterprise Risk Management division of Thomson Reuters Regulatory Intelligence. She covers compliance-related issues in the Canadian financial services sector for Thomson Reuters Regulatory Intelligence.

Helen first joined Thomson Reuters in 2010 as the Asia Pacific legal editor for Westlaw® Business Currents. She was based in Hong Kong and covered regulatory issues pertaining to mergers and acquisitions and to cross-border investment. Helen holds a degree in media studies from the University of Western Ontario and a Juris Doctor degree from Queen's University in Canada. She is a qualified U.S. attorney and is licensed to practice in the state of New York.



## Niall Coburn

Niall Coburn is regulatory intelligence expert, Asia Pacific. He joined Thomson Reuters in 2013 from FTI Consulting where he was managing director for investigations in Australia. A barrister, he is a former senior specialist adviser to the Australian Securities and Investments Commission and director of enforcement at the Dubai Financial Services Authority. He was part of an international team that wrote the regulatory and financial market laws and rules for the Dubai International Financial Centre. He has more than 20 years' experience in financial markets regulation.

In 2002, he was awarded an ASIC Australia Day Honour Medal for his work in corporate investigations. He has written a book on corporate investigations and insolvent trading and has been a regular commentator for the *Australian Financial Review*.



### Alexander Davidson

Alexander Davidson has more than 30 years' experience as a financial journalist, editor and writer in London, most recently in regulation and compliance. Before joining Thomson Reuters in 2004, he was editor of the Re Report, a reinsurance newsletter, and covered equity capital markets for Thomson IFR. Alexander has worked as a markets reporter at Reuters and has written widely for the national press. He has published many books particularly on investment strategy, including *The Times* newspapers titles: *How the City Really Works* and *How to Understand the Financial Pages*, and two novels. Among other qualifications, Alexander read a B.A. classics degree followed by a master's in classics, both at London University. He also holds the CISI diploma.



### Julie DiMauro

Julie DiMauro is a regulatory intelligence and e-learning expert for Thomson Reuters Regulatory Intelligence, based in New York. She has experience working as an executive editor of FCPA Blog, where she still serves as an advisory board member.

She was senior editor for Complinet, a regulatory compliance information provider acquired by Thomson Reuters in 2011. Her prior work includes managing the editorial departments at legal and compliance publishers Vendome Publishing and Quinlan Publishing Group, as well as serving as a legal product manager for Fidelity Investments. She is a lawyer and a guest lecturer at Pace University in New York. Julie received a B.A. from the University of Vermont and a J.D. from the American University School of Law.



### James Douse

James Douse is a regulatory intelligence analyst for Thomson Reuters Regulatory Intelligence. Among his responsibilities are writing analyses on upcoming regulatory change. James joined Regulatory Intelligence from Thomson Reuters, Legal, where he worked for the publisher Sweet & Maxwell. James has a social and economic history degree from the University of Wales, Swansea, a Diploma in law from the College of Law and has been awarded the Chartered Institute for Securities & Investment Diploma in Investment Compliance.



### Todd Ehret

Todd Ehret is a senior regulatory intelligence expert for Thomson Reuters Regulatory Intelligence. With more than 25 years' experience on Wall Street, Todd has a tremendous breadth of experience on both the buy-side and sell-side at large and small firms dealing with both institutional and retail clients.

Todd was an investment professional at the hedge fund and private equity firm, Eos Partners. Later, he oversaw the broker-dealer equity trading desk at Weiss, Peck & Greer, a division of Robeco Investment Management. He left Robeco to help launch the global hedge fund Sophrosyne Capital, where he was the RIA's Chief Operating Officer and Chief Compliance Officer for nearly a decade. Todd has passed numerous FINRA Series exams and managed numerous regulatory inquiries and exams throughout his career. Todd has a B.S. in political science from the University of South Dakota.



### Henry Engler

Henry Engler is North American regulatory analyst in New York. He comes to Thomson Reuters Regulatory Intelligence after a decade in the financial industry in which he has served roles as an executive or managing consultant overseeing compliance-related and other projects. These include projects in Dodd-Frank swaps reporting requirements, TRACE reporting, data requirements, tax and accounting matters, AML systems and employee transaction monitoring. He has worked for firms including IBM Global Business Services, Morgan Stanley and RBS Capital Markets. Before these roles, Henry was a trained economist and served as a financial journalist and business strategy executive at Reuters. He has edited books on the European Monetary Union and the future of banking.



### Stacey English

Stacey English is head of the Global Regulatory Intelligence team at Thomson Reuters, which monitors and analyzes regulatory developments from global regulators and provides regulatory and industry insight and thought leadership through regtech solutions to compliance, risk and regulatory professionals worldwide. Stacey has over 20 years' regulatory risk, compliance, audit and regtech experience within global financial services institutions as a regulator, practitioner, adviser and NED.

Prior to joining Thomson Reuters, Stacey began her regulatory career with the Financial Services Authority, spending six years undertaking supervisory inspections and mis-selling investigations; drafting new rules and guidance for the financial services industry and two years as an internal auditor reviewing the conduct of the regulator itself. As a practitioner she specialized in risk management within the insurance industry. As a senior manager for Aviva and later with Lloyd's of London, Stacey focused on designing and embedding enterprise-wide risk management and reporting. She also provided risk management consultancy services to Lloyd's syndicates. Stacey was later head of governance, reporting and intelligence for Barclays Bank.

A Chartered Certified accountant with the highest results worldwide, Stacey has first-class degrees in BSc Applied Accounting and BA Business Administration; holds the Chartered Insurance Institute's Certificate in Financial Planning and is a Member of the Chartered Institute of Securities and Investments, the Personal Finance Society and the Chartered Insurance Institute.



### Susannah Hammond

Susannah has over 25 years' wide-ranging experience in international and UK financial services.

A qualified chartered accountant, Susannah began her compliance career at SG Warburg where she became head of European compliance. She was the global head of compliance and a founding employee of Caspian Securities before joining PricewaterhouseCoopers as a consultant. Susannah was also head of international regulatory risk for the Halifax Group and became head of retail regulatory risk for HBOS plc upon Halifax's merger with Bank of Scotland. Before joining Thomson Reuters, she was head of compliance at GE Capital Bank.

In her role as a senior regulatory intelligence expert, Susannah shares her extensive experience with TRRI customers by writing some of the most-read articles on the site. In particular “10 Things a Compliance Officer Must Do” and “5 Key Risks,” articles which have become annual to-do lists and checklists for compliance and risk practitioners. She regularly attracts large audiences at events and webinars and is a much sought-after ambassador for TRRI at external events. Susannah was entrusted with presenting at the inaugural Risky Women breakfasts in both Toronto and New York and received great feedback. Well known and highly respected throughout the industry, she brings a deep understanding of the unique challenges facing today’s risk and compliance professional and articulates the value and benefits that TRRI can bring to them in order to manage and mitigate the daily challenges they face.



### Jean Hurley

Jean Hurley is senior commissioning editor responsible for the development of exclusive third-party content covering banking, private equity and financial crime. Jean is a graduate from London South Bank University’s business school and the London College of Law. On passing her solicitor exams, she worked for four years as a professional support officer in Simmons & Simmons’ litigation department where she monitored legal events, wrote newsletters and led training sessions. After that, Jean entered the world of legal and financial publishing and worked first as an editor on the *Encyclopaedia of Forms and Precedents* and then went on to develop and commission new products for LexisNexis Butterworths. She holds the Securities & Investment Institute Diploma in Investment Compliance.



### Ashley Kovas

Ashley Kovas has been involved in financial services regulation since the implementation of the Financial Services Act 1986, at which time he was at the Department of Trade and Industry. Subsequently, he worked for several fund management companies before joining the FSA in 2000 where he worked on both wholesale and retail policy issues. His last role at the FSA was as manager of the Collective Investment Schemes Policy Team where he was responsible for almost all aspects of the COLL Sourcebook. Ashley also took an active role as a member of the CESR (now ESMA) Investment Management Expert Group, as well as representing the FSA at IOSCO Standing Committee 5 (Investment Management). After eight years at the FSA, Ashley became head of Group Compliance (Funds) in the Prudential PLC Group Compliance Department. After a period as director of Strategy for the Solicitors Regulation Authority, Ashley was head of Group Compliance Policy at the Royal Bank of Scotland where he introduced a suite of Conduct Risk Policies effective across the RBS Group.

Ashley is now a senior regulatory intelligence expert at Thomson Reuters where he analyzes and writes on upcoming regulatory change. He also works as an independent regulatory consultant. Ashley holds bachelor’s and master’s degrees in law from the University of London. He is a Chartered Fellow of the Chartered Institute of Securities and Investment (CISI) and a Fellow of the Chartered Management Institute. Ashley assists the CISI in reviewing many of its course texts and examination questions. He is the author of *Understanding the Financial Conduct Authority: A Guide for Senior Managers*, available from [troubador.co.uk](http://troubador.co.uk)



### Patricia Lee

Patricia Lee is chief correspondent, Banking and Securities Regulation, Asia for Thomson Reuters Regulatory Intelligence based in Singapore. She has been a journalist for 22 years with broad industry experience spanning banking and finance, commodities, broadcast technology, TV/film production and post-production. Patricia began her career in financial journalism at Thomson IFR Asia where she spent five years reporting on the syndicated loan markets of Southeast Asia and Taiwan. This was followed by a two-year stint in financial book publishing. She has worked as a freelance writer, contributing to international trade publications such as *Accounting and Business*, *TheWealthNet.com*, *Asian Investor* and *Variety*. Patricia has a B.A. from the National University of Singapore. She is bilingual in Chinese and English and speaks Japanese.



### Nathan Lynch

Nathan Lynch is the head regulatory analyst for Thomson Reuters Regulatory Intelligence in Australia/New Zealand. Nathan joined the London office in 2000, returning to Australia in 2002. He has reported on regulatory affairs, anti-money laundering and compliance developments across the Asia Pacific region ever since. Nathan graduated from Australia’s Curtin University with an honors degree in journalism in 1998. Since then he has written for a number of high-profile regional publications, including the *Sydney Morning Herald* and *The West Australian*, and has appeared on Sky Business and on ABC as a specialist commentator on financial markets, anti-money laundering and regulation.



### Randall Mikkelsen

Randall Mikkelsen is North American managing editor, Thomson Reuters Regulatory Intelligence, based in Boston. Randall joined Thomson Reuters Regulatory Intelligence in 2010 with the acquisition by Thomson Reuters. He previously worked as a financial and political journalist with Reuters from 1988. Randall has covered the White House, Justice Department, CIA, the Congress and other agencies in Washington, and covered economic and general news from postings in Philadelphia and Stockholm, Sweden. He also served as a Reuters desk editor for the Americas. He has won awards from the Society of American Business Editors and Writers and the North Dakota Newspaper Association. Randall has a bachelor’s degree in English and master’s in journalism from the University of Minnesota.



### Helen Parry

Before joining Thomson Reuters, Helen was a consultant editor at Complinet. Prior to that appointment, she was Reader in Law at London Metropolitan University where she was director of the Post Graduate Diploma in Financial Services Law. She was also the editor and principal contributing author of the Sweet & Maxwell Law and Regulation Series, which included titles on the law and regulation of hedge funds; futures trading; swaps and off-exchange derivatives trading; bond markets and exchanges and alternative trading systems.

In the 80s and ‘90s, Helen worked as a regulator in the derivatives industry in the early days of regulation, helping to implement the

provisions of the 1986 Financial Services Act as head of the Division of Public Affairs at the Association of Futures Brokers and Dealers and as regulatory manager at the International Commodities Clearing House and International Financial Markets. She was originally an academic criminologist specializing in white collar crime and has also worked with private sector investigatory agencies such as the International Maritime Bureau, which deals with maritime crime and piracy, and the Counterfeiting Intelligence Bureau.



### Alexander Robson

Alexander Robson is editor-in-chief, Thomson Reuters Regulatory Intelligence, based in London. He joined Thomson Reuters in 2010 with the acquisition of Complinet, the online resource for compliance and regulatory professionals, of which he was the first editor in 1998. Prior to that, Alexander worked for Thomson IFR, Euromoney Institutional Investor newsletters where he covered asset management, debt capital markets and securitization, and regulation. Alexander has also worked for both local and national newspapers and briefly as a stockbroker. He has a degree in modern history and international relations from the University of Reading and a postgraduate diploma in newspaper journalism from Cardiff University.



### Lindsey Rogerson

Lindsey Rogerson joined TRRI as senior editor, UK and Europe, in November 2017 and has been a financial journalist, columnist and editor for over 20 years. She has edited a number of investment publications as well as the personal finance section of Scotland's national newspaper, *The Scotsman*. Lindsey is also an expert in regulating in the interests of consumers. From 2014-2017, she was a member of the Securities and Markets Stakeholder Group of the European Securities and Markets Authority. From 2006-2012 she was a member of the Financial Services Consumer Panel, later chairing its European Working Group. Lindsey has also consulted on the impact of financial regulation for a number of private sector organizations, and acted as an adviser on the payments strategy of the charity Age UK. She has an M.A. in political economy and history from University of Glasgow and a postgraduate diploma in journalism from Cardiff University.

### Jolene Santiago

Jolene Santiago is production editor, North America, for Thomson Reuters Regulatory Intelligence, based in New York. She contributes to the production of the daily Thomson Reuters Regulatory Intelligence bulletin for North America. Jolene also supports a variety of initiatives in expanding Thomson Reuters Regulatory Intelligence's service to the compliance profession, including managing social media engagement via Twitter®, LinkedIn®, and the Thomson Reuters Accelus public blog, "The Knowledge Effect." Jolene worked as a copy editor and production editor for Complinet before it was acquired by Thomson Reuters. She carries a bachelor's degree in speech therapy from University at Buffalo.



### Richard Satran

Richard Satran has been an editor and reporter for Thomson Reuters covering financial markets, banking, wealth management and technology for over two decades in New York, London and San Francisco. He was most recently founding editor in charge of the Thomson Reuters Investment & Advisory Top News covering practice management, compliance and investing for wealth managers, investment bankers and asset managers. Richard has held staff positions at *U.S. News & World Report*, *Wired* magazine, CNBC, Financial Times MergerMarket and at newspapers in New York and New England. He worked for Fidelity Investments as managing editor for news and investing and has written investment and practice management thought leadership articles for T. Rowe Price, Guggenheim, Price Waterhouse and other firms. Richard graduated from the University of Wisconsin – Madison with a degree in journalism and economic history. He has taught digital journalism at the University of California, Berkeley and Montclair State University. Richard was lead writer/editor for the Reuters Loeb Award finalist series on the housing finance crisis in 2008. His stories on municipal corruption and financial mismanagement in New Haven, Conn., won the Associated Press Thomas Brindley Award for investigative reporting. A competitive ski racer in his youth, his biggest career wish is to go to the Davos summit to ski and do interviews about regulation with big thinkers on the slopes with a GoPro video recorder attached to his helmet.

### Daniel Seleanu

Daniel Seleanu is the contributions editor and Canadian correspondent for Thomson Reuters Regulatory Intelligence. Based in Toronto, he covers the full range of Canadian financial services regulation, including banking, securities, investment management and anti-money-laundering enforcement. Daniel joined Thomson Reuters in 2010. Before relocating to Canada in 2012, he was based in Abu Dhabi and covered regulatory affairs in the Middle East and North Africa. Daniel previously worked for real estate analytics firm Landmark Advisory in Dubai and the G8 Research Group in Toronto. He has a degree in international relations from the University of Toronto.



### Trond Vagen

Trond Vagen is the Asia editor for Thomson Reuters Regulatory Intelligence, based in Hong Kong. He joined Thomson Reuters with the 2010 acquisition of Complinet, which he had been with since early 2008. Trond has been in Hong Kong since 2006 and has covered regulatory compliance issues in Asia and Europe for nearly a decade. He has previously worked for *The Deal*, Standard & Poor's and Compliance Online, covering issues spanning from M&A deals and private equity in Asia to reporting on EU stock markets. Trond has a B.A. in journalism from California State University, Long Beach.

## Jason Wallace

Jason Wallace is a Thomson Reuters Regulatory Intelligence senior editor based in Southern California. He provides practice advice for investment advisers with his "IA Brief" column, as well as information for broker-dealers. Jason joined Thomson Reuters after serving as an associate director for National Regulatory Services, where he managed a monthly compliance consulting subscription product for investment advisers and broker-dealers. He also helped firms to manage regulatory obligations and undergo mock SEC/FINRA examinations. Jason began his financial services career at TD Waterhouse Securities, Inc., now TD Ameritrade, where he held positions in the trading, risk management and compliance departments. Jason has a bachelor's degree from Washington State University and has attained the Series 4, 7, 9, 10 and 63 securities licenses.



## Rachel Wolcott

Rachel Wolcott is senior editor, Risk, for Thomson Reuters Regulatory Intelligence. She specializes in covering risk management, particularly as it affects the over-the-counter derivatives markets. Rachel has 15 years' experience covering banking and financial markets in New York and London. She has covered private banking, capital markets, derivatives, risk management, compliance and real estate. Prior to joining Thomson Reuters Regulatory Intelligence in 2011, Rachel held senior editorial positions with *Euromoney* magazine, *Risk* magazine and Institutional Investor Newsletters. Rachel holds a B.A. in literature and language from Reed College in Portland, Oregon, as well as an M.A. in **Humanities and Social Sciences** from New York University.



## Brett Wolf

Brett Wolf is a senior money laundering correspondent for Thomson Reuters Regulatory Intelligence, based in St. Louis. Joining Thomson Reuters in 2006, he previously covered money laundering for a Miami-based newsletter and website. Brett has broken many stories on anti-money-laundering enforcement and policy, and contributed to Reuters winning entry for the General Excellence award from the Society of American Business Editors and Writers. Prior to covering money laundering, he worked the police beat and did investigative reporting at daily newspapers, including the *St. Louis Post-Dispatch*. Brett has a bachelor's degree in journalism and master's in communications from the University of Illinois.



## Bora Yagiz

Bora Yagiz is a senior banking editor at Thomson Reuters Regulatory Intelligence, based in New York. Bora joined Thomson Reuters in 2012, previously working as a bank examiner at the Federal Reserve Bank of New York, covering community banks, and as a financial analyst in an on-site team at a large financial institution, where he won a president's performance award. Bora also worked as a vice president at Morgan Stanley's compliance department, serving as a liaison between business units and regulators. As a senior consultant at Ernst & Young, he advised clients on regulatory needs, requirements and the Dodd-Frank Act. Bora has a bachelor's degree in foreign affairs from the University of Virginia and a master's in international affairs from Columbia University.

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