

Thomson Reuters Regulatory Intelligence

FEATURE RELEASE NOTES

JANUARY 2019

REGULATORY INTELLIGENCE PRODUCT TEAM

Release Notes January 2019

Enhancements to Excel Export

Link to the original/external document source

Users are now able to link to the original/external document source when viewing a report extract via the Excel Export feature, by selecting the link in the column headed Document Source.

Document Number	Title	Summary	Effective Date	Source Publication Date	Document Source	Document Date	Comments Date	Compliance Date
	An update on our approach to authorisation and our Brexit preparations	The Financial Conduct Authority's (FCA) Director of Authorisations, Sarah Rapson, delivered a speech an update regarding the FCA's approach to authorisation and Brexit preparations at the Association of Professional Compliance Consultants (APCC) Autumn Conference on 2 October, 2018. In the speech, Rapson revealed that the FCA is preparing for all Brexit scenarios, including the possibility of 'no-deal', and improving authorisations by instilling a service mindset. Furthermore, the FCA is consulting on a temporary permission regime aimed at mitigating cliff-edge risks potentially faced by EEA firms passporting into the UK.		30-Oct-2018	https://www.fca.org.uk/news/speeches/update-our-approach-authorisation-and-our-brexit-preparations	30-Oct-2018		
	Maintaining market confidence: an update on Brexit	Speech delivered by Nausicaa Delfas, Executive Director of International at the City and Financial: 3rd UK Financial Services Brexit Summit Speaker: Nausicaa Delfas [www.fca.org.uk/about/executive-committees/nausicaa-delfas], Executive Director of Intern		05-Nov-2018				
	Maintaining market confidence: an update on Brexit	The Financial Conduct Authority (FCA) has published a speech delivered by Nausicaa Delfas, Executive Director of International at the City and Financial: 3rd UK Financial Services Brexit Summit. Delfas says that the FCA is working on a robust regulatory framework and a smooth and orderly transition for firms and their customers if there is a no-deal Brexit. She goes on to praise the EU's commitments to resolve remaining issues, but makes it clear that it is time to resolve those issues and provide certainty to markets. Finally, Delfas states that although Britain is leaving the EU, financial markets will remain heavily interconnected, and the FCA remain committed to close cooperation with EU counterparts and the rest of the world.		05-Nov-2018	https://www.fca.org.uk/news/speeches/maintaining-market-confidence-update-brexit	05-Nov-2018		
	Regulation round-up November 2018	The Financial Conduct Authority (FCA) has published its Regulation round-up newsletter for November 2018. Amongst others, areas of discussion in the Regulation round-up include: hot topics; banks and building societies; financial advisors; mortgage advisors and lenders; general insurance intermediaries and insurers;		15-Nov-2018	https://www.fca.org.uk/news/newsletters/regulation-round-november-2018	15-Nov-2018		

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	30-Oct-2018	https://www.fca.org.uk/news/speeches/update-our-approach-authorisation-and-our-brexit-preparations	30-Oct-2018				https://app.accelus.com/#accelus/ri/document/29281780DD2211E8B0EFC9A3FCD4868/view	I29281780DD2211E8B0EFC9A3FCD4868
	05-Nov-2018						https://app.accelus.com/#accelus/ri/document/217620cad9a44a9da1b0327e80bc95e3/view	I217620cad9a44a9da1b0327e80bc95e3
	05-Nov-2018	https://www.fca.org.uk/news/speeches/maintaining-market-confidence-update-brexit	05-Nov-2018				https://app.accelus.com/#accelus/ri/document/E36A5790E0E511E8A5F7BBE1A13EB640E0E511E8A5F7BBE1A13EB64/view	IE36A5790E0E511E8A5F7BBE1A13EB64
	15-Nov-2018	https://www.fca.org.uk/news/newsletters/regulation-round-november-2018	15-Nov-2018				https://app.accelus.com/#accelus/ri/document/6F208240E97F11E8B0EFC9A3FCD4868/view	I6F208240E97F11E8B0EFC9A3FCD4868

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